



**II. WAIVER COSTS AND VARIANCE FROM BUDGET NEUTRALITY LIMIT BY QUARTER (Federal Funds)**

A	B	C	D	E	F	G	H	I	J	K	L
EXPENDITURES (Federal Funds)											
BUDGET NEUTRALITY LIMIT (FF)	Current Eligibles	Demo Population I - PCN		Demo Population II	Demo Population III - HIFA		Current Eligibles II	Adult Expansion	TOTAL	VARIANCE	
	(Sections 1925 and 1931 Adults and Medically Needy Adults)	Adults w/ Children	Childless Adults	(Section 1902(r)(2) Adults; also Known as Hypothetical State Plan Eligibles)	(PCN Adults in Demo Population I = 1115)	(High-Risk Pregnant Women = 1115)	Adults w/ Children	Childless Adults			(HIFA Adults in Demo Population III = 1115 Expansion Group)
State Fiscal Year (SFY)- Demonstration Year (DY)											
SFY15-DY13	\$235,389,242	\$121,818,957	\$3,680,770	\$9,038,162	\$17,994	\$340,914	\$10,048			\$134,906,845	\$100,482,397
QE 9/14	\$28,420,265	\$757,709	\$2,117,542	\$13,523	\$58,794	\$2,315				\$31,370,148	
QE 12/14	\$32,199,953	\$1,035,542	\$2,615,201	\$4,226	\$73,983	\$2,295				\$35,931,200	
QE 3/15	\$28,594,171	\$1,153,257	\$2,481,997	\$185	\$100,649	\$2,499				\$32,332,758	
QE 6/15	\$32,089,293	\$750,034	\$1,866,826	\$60	\$110,888	\$2,939				\$34,820,040	
SFY16-DY14	\$250,807,428	\$120,144,539	\$2,711,921	\$5,891,357	\$6,026	\$435,525	\$9,707			\$129,199,074	\$121,608,354
QE 9/15	\$30,564,749	\$637,704	\$1,630,248	\$21	\$115,928	\$2,811				\$32,951,461	
QE 12/15	\$29,217,735	\$472,101	\$517,878	\$0	\$124,637	\$1,957				\$30,334,308	
QE 3/16	\$30,181,028	\$801,058	\$1,871,615	\$3,003	\$97,480	\$2,469				\$32,956,653	
QE 6/16	\$30,181,028	\$801,058	\$1,871,615	\$3,003	\$97,480	\$2,469				\$32,956,653	
SFY17-DY15	\$292,163,583	\$126,151,766	\$2,847,517	\$6,185,925	\$6,327	\$457,301	\$10,192	\$5,485,452	\$25,467,897	\$166,612,377	\$125,551,207
QE 9/16	\$31,537,942	\$711,879	\$1,546,481	\$1,582	\$114,325	\$2,548				\$33,914,757	
QE 12/16	\$31,537,942	\$711,879	\$1,546,481	\$1,582	\$114,325	\$2,548				\$33,914,757	
QE 3/17	\$31,537,942	\$711,879	\$1,546,481	\$1,582	\$114,325	\$2,548	\$2,742,726	\$12,733,949		\$49,391,431	
QE 6/17	\$31,537,942	\$711,879	\$1,546,481	\$1,582	\$114,325	\$2,548	\$2,742,726	\$12,733,949		\$49,391,431	
SFY18-DY16	\$342,129,496	\$132,459,355	\$2,989,893	\$6,495,221	\$6,644	\$480,166	\$10,702	\$11,519,449	\$53,482,584	\$207,444,012	\$134,685,484
QE 9/17	\$33,114,839	\$747,473	\$1,623,805	\$1,661	\$120,041	\$2,675	\$2,879,862	\$13,370,646		\$51,861,003	
QE 12/17	\$33,114,839	\$747,473	\$1,623,805	\$1,661	\$120,041	\$2,675	\$2,879,862	\$13,370,646		\$51,861,003	
QE 3/18	\$33,114,839	\$747,473	\$1,623,805	\$1,661	\$120,041	\$2,675	\$2,879,862	\$13,370,646		\$51,861,003	
QE 6/18	\$33,114,839	\$747,473	\$1,623,805	\$1,661	\$120,041	\$2,675	\$2,879,862	\$13,370,646		\$51,861,003	
SFY19-DY17	\$359,235,971	\$139,082,322	\$3,139,387	\$6,819,982	\$6,976	\$504,174	\$11,237	\$12,095,422	\$56,156,713	\$217,816,213	\$141,419,758
QE 9/18	\$34,770,581	\$784,847	\$1,704,995	\$1,744	\$126,044	\$2,809	\$3,023,855	\$14,039,178		\$54,454,053	
QE 12/18	\$34,770,581	\$784,847	\$1,704,995	\$1,744	\$126,044	\$2,809	\$3,023,855	\$14,039,178		\$54,454,053	
QE 3/19	\$34,770,581	\$784,847	\$1,704,995	\$1,744	\$126,044	\$2,809	\$3,023,855	\$14,039,178		\$54,454,053	
QE 6/19	\$34,770,581	\$784,847	\$1,704,995	\$1,744	\$126,044	\$2,809	\$3,023,855	\$14,039,178		\$54,454,053	
SFY20-DY18	\$377,197,769	\$146,036,438	\$3,296,357	\$7,160,981	\$7,325	\$529,383	\$11,799	\$12,700,193	\$58,964,549	\$228,707,023	\$148,490,746
QE 9/19	\$36,509,110	\$824,089	\$1,790,245	\$1,831	\$132,346	\$2,950	\$3,175,048	\$14,741,137		\$57,176,756	
QE 12/19	\$36,509,110	\$824,089	\$1,790,245	\$1,831	\$132,346	\$2,950	\$3,175,048	\$14,741,137		\$57,176,756	
QE 3/20	\$36,509,110	\$824,089	\$1,790,245	\$1,831	\$132,346	\$2,950	\$3,175,048	\$14,741,137		\$57,176,756	
QE 6/20	\$36,509,110	\$824,089	\$1,790,245	\$1,831	\$132,346	\$2,950	\$3,175,048	\$14,741,137		\$57,176,756	
SFY21-DY19	\$396,057,658	\$153,338,260	\$3,461,174	\$7,519,030	\$7,691	\$555,852	\$12,388	\$13,335,202	\$61,912,776	\$240,142,374	\$155,915,283
QE 9/20	\$38,334,565	\$865,294	\$1,879,757	\$1,923	\$138,963	\$3,097	\$3,333,801	\$15,478,194		\$60,035,594	
QE 12/20	\$38,334,565	\$865,294	\$1,879,757	\$1,923	\$138,963	\$3,097	\$3,333,801	\$15,478,194		\$60,035,594	
QE 3/21	\$38,334,565	\$865,294	\$1,879,757	\$1,923	\$138,963	\$3,097	\$3,333,801	\$15,478,194		\$60,035,594	
QE 6/21	\$38,334,565	\$865,294	\$1,879,757	\$1,923	\$138,963	\$3,097	\$3,333,801	\$15,478,194		\$60,035,594	
SFY22-DY20	\$207,930,270	\$80,502,587	\$1,817,117	\$3,947,491	\$4,038	\$291,822	\$6,504	\$7,000,981	\$32,504,207	\$126,074,747	\$81,855,524
QE 9/21	\$40,251,293	\$908,558	\$1,973,745	\$2,019	\$145,911	\$3,252	\$3,500,491	\$16,252,104		\$63,037,373	
QE 12/21	\$40,251,293	\$908,558	\$1,973,745	\$2,019	\$145,911	\$3,252	\$3,500,491	\$16,252,104		\$63,037,373	
End of Waiver Extension											
											Cumulative savings in federal funds at the end of waiver extension 12/31/2021
<b>TOTAL BUDGET NEUTRALITY LIMIT SFY 2003-2022</b>	\$4,036,008,502									\$2,625,707,591	\$1,410,300,911

Legend  
 = Estimated Figures  
 = Neutrality Limit without waiver ceiling  
 = Actual Expenditures from MBES/CBES reports including prior period adjustments  
 = Actual Quarterly Expenditures from MBES/CBES reports with no prior period adjustments

Attachment G  
Utah 1115 Budget Neutrality + Adult Expansion\_CMS\_6-30-16.xlsx

**III. SUMMARY BY DEMONSTRATION YEAR AND CUMULATIVELY (Federal Funds)**

A	B	C	D	E	F	G	H	I
Demonstration Year (DY)	Budget Neutrality Limit	Waiver Costs on CMS-64	Annual Variance	Variance As % of Annual BN Limit	Cumulative Budget Neutrality Limit	Cumulative Waiver Costs on CMS-64	Cumulative Variance	Variance As % of Cumulative BN Limit
DY #1 (SFY 2003)	\$64,151,353	\$68,519,660	-\$4,368,307	-6.81%	\$64,151,353	\$68,519,660	-\$4,368,307	-6.81%
DY #2 (SFY 2004)	\$80,491,312	\$77,006,658	\$3,484,654	4.33%	\$144,642,666	\$145,526,318	-\$883,652	-0.61%
DY #3 (SFY 2005)	\$94,403,172	\$90,341,017	\$4,062,155	4.30%	\$239,045,838	\$235,867,335	\$3,178,503	1.33%
DY #4 (SFY 2006)	\$97,638,785	\$87,381,267	\$10,257,518	10.51%	\$336,684,622	\$323,248,602	\$13,436,020	3.99%
DY #5 (SFY 2007)	\$86,958,239	\$85,043,219	\$1,915,020	2.20%	\$423,642,861	\$408,291,821	\$15,351,040	3.62%
DY #6 (SFY 2008)	\$86,915,636	\$83,042,595	\$3,873,041	4.46%	\$510,558,497	\$491,334,416	\$19,224,081	3.77%
DY #7 (SFY 2009)	\$107,710,583	\$98,019,023	\$9,691,560	9.00%	\$618,269,080	\$589,353,439	\$28,915,641	4.68%
DY #8 (SFY 2010)	\$136,144,532	\$118,491,450	\$17,653,082	12.97%	\$754,413,612	\$707,844,889	\$46,568,723	6.17%
DY #9 (SFY 2011)	\$165,352,483	\$113,971,006	\$51,381,477	31.07%	\$919,766,095	\$821,815,895	\$97,950,200	10.65%
DY #10 (SFY 2012)	\$204,481,176	\$103,683,923	\$100,797,253	49.29%	\$1,124,247,271	\$925,499,818	\$198,747,453	17.68%
DY #11 (SFY 2013)	\$219,132,390	\$122,343,993	\$96,788,397	44.17%	\$1,343,379,661	\$1,047,843,811	\$295,535,850	22.00%
DY #12 (SFY 2014)	\$231,717,424	\$126,961,116	\$104,756,308	45.21%	\$1,575,097,084	\$1,174,804,927	\$400,292,157	25.41%
DY #13 (SFY 2015)	\$235,389,242	\$134,906,845	\$100,482,397	42.69%	\$1,810,486,326	\$1,309,711,772	\$500,774,554	27.66%
DY #14 (SFY 2016)	\$250,807,428	\$129,199,074	\$121,608,354	48.49%	\$2,061,293,754	\$1,438,910,846	\$622,382,908	30.19%
DY #15 (SFY 2017)	\$292,163,583	\$166,612,377	\$125,551,207	42.97%	\$2,353,457,338	\$1,605,523,223	\$747,934,115	31.78%
DY #16 (SFY 2018)	\$342,129,496	\$207,444,012	\$134,685,484	39.37%	\$2,695,586,834	\$1,812,967,235	\$882,619,599	32.74%
DY #17 (SFY 2019)	\$359,235,971	\$217,816,213	\$141,419,758	39.37%	\$3,054,822,805	\$2,030,783,447	\$1,024,039,357	33.52%
DY #18 (SFY 2020)	\$377,197,769	\$228,707,023	\$148,490,746	39.37%	\$3,432,020,574	\$2,259,490,470	\$1,172,530,103	34.16%
DY #19 (SFY 2021)	\$396,057,658	\$240,142,374	\$155,915,283	39.37%	\$3,828,078,232	\$2,499,632,845	\$1,328,445,387	34.70%
DY #20 (SFY 2022) Only includes 2 qtrs since waiver extension ends 12/31/21	\$207,930,270	\$126,074,747	\$81,855,524	39.37%	\$4,036,008,502	\$2,625,707,591	\$1,410,300,911	34.94%

**Legend**

- = Estimated Figures
- = Neutrality Limit without waiver ceiling QTD Amount
- = Actual Expenditures 1115 Waivers QTD Amount