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Utah State Dept. of Health
Division of Health Care Financing

837 INSTITUTIONAL
COMPANION GUIDE

Utah Specific Transaction Instructions

837 Health Care Claim: **Institutional** ASCX12N 837 (004010X096A1)

The Health Insurance Portability and Accountability Act (HIPAA) requires all health insurance payers in the United States to comply with the Electronic Data Interchange (EDI) standards for healthcare as established by the Secretary of Health and Human Services. The ANSI ASC X12N 837I Version 4010 implementation guide is the standard of compliance -- available at www.wpc-edi.com. The following supplemental information is specific to Utah Medicaid and serves as a companion guide to the implementation guide. Utah Medicaid Provider Manuals are available at www.health.utah.gov/medicaid/tree/index.html.

1. For questions or suggestions about this companion guide, call (800) 662-9651 menu 3, menu 5, or (801) 538-6155 menu 3, menu 5, Operational Support and Development (OS&D). Go to <http://health.utah.gov/hipaa/guides.htm> to obtain the latest version of this guide and other companion guides. OS&D can help resolve issues on all EDI.
2. All EDI must pass through the Utah Health Information Network (UHIN), an independent, not-for-profit, value added network serving all payers in Utah. Contact UHIN at www.UHIN.com or call (801) 466-7705 x200. UHIN will assign a Trading Partner Number (TPN) for EDI.
3. Use your TPN and your Utah Medicaid 12-digit Contract number or your TPN and your National Provider Identifier (NPI) to complete the Online Utah Medicaid EDI Enrollment Form (EForm) at <http://hcf.health.utah.gov/hcfeenroll/index.jsp>. Without a successfully completed EDI enrollment, the Medicaid computer system will not acknowledge any transmission (e.g. no 997, no 277FE, etc).
4. "Atypical" providers are providers who bill for non-medical transportation, respite care, medical alert systems, construction of wheel chair ramps, meals on wheels, or other non-medical services. Providers who have been setup by the Medicaid Provider Enrollment team as atypical must bill with their Medicaid 12-digit Contract number. After the NPI deadline of May 23, 2008 (may be advanced to an earlier date – watch Medicaid Information Bulletins), atypical providers must submit their EDI transmissions to and receive their EDI transmissions from the new Trading Partner Number (TPN) of HT000004-801. To enroll under this new TPN, providers should "re" submit their EForm from paragraph 3 above after the Medicaid Provider Enrollment team has physically changed their provider record to "atypical." To contact the Provider Enrollment team see paragraph 5.

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5. Register your NPI (n/a for atypical) with the Medicaid Provider Enrollment team, 538-6155, menu 3, menu 4, or fax your NPI (include 12-digit Medicaid Contract number, taxonomy code, and zip code+4) to (801) 536-0471. The Medicaid Contract number will not be allowed on or after the NPI deadline, unless you are an atypical provider. If a provider affiliates their NPI to more than one Medicaid Contract number, they will also need to provide either a unique Taxonomy Code or a unique address to each of the Contract numbers to help the Medicaid computer distinguish the Contract number for which they intend to bill. Claims that do not match to exactly one Medicaid Contract number will be rejected. NOTE: The 835 electronic remittance advice will report both the NPI and the Tax ID.
6. Providers, professional billers, and clearinghouses: please separate HT000004-001 transmissions from HT000004-005 transmissions from HT000004-801 transmissions until UHIN is able to electronically separate multiple Medicaid TPNs in one transmission.
7. Effective January 1, 2007, Medicaid requires the reporting of the National Drug Code (NDC) in addition to the HCPCS/CPT code on all drugs identified by a NDC.
8. Transmit Institutional claims anytime 24 hours a day, 7 days a week. Electronic claims received before the End of Business on Thursday usually adjudicate that weekend. Transactions received after the End of Business on Thursday will miss the adjudication cycle and will process the following weekend.
9. A 997 Functional Acknowledgment will be available for pickup (download) within two hours of transmission for all 837 transactions. If you find no 997, then contact OS&D. A “rejected” 997 is the same as a transmission that was never received – contact OS&D.
10. A 277FE Health Care Claim Status Notification - Front End Acknowledgment will usually be available for pickup (download) the next business day after the transmission of the 837. If you find no 277FE, contact OS&D. This transmission assigns a 17-digit Transaction Control Number (TCN) to any claim that is accepted into the Medicaid Management Information System (MMIS). The lack of a TCN is the same as if that claim was never received. Use the Claim Status Codes <http://www.wpc-edi.com/content/view/524/225/> to determine why the claim was rejected, then make repairs and resubmit.
11. Medicaid Customer Service agents are NOT able to see claims that have not processed through at least one weekend adjudication cycle. Use the 997 and 277FE reports to determine status of electronic submissions prior to a weekend adjudication cycle. After an adjudication cycle use the 276 for claim status; include the 17-digit Transaction Control Number (TCN) assigned to the claim by the 277FE.

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12. Utah Medicaid cannot accept a line with a negative amount (method used by Medicare for adjusting claims — Medicaid uses the void and replace method). A negative amount will generate the 484 error code (Business Application Currently Not Available).
13. Transmit claims for all the Medicaid programs (Non-Traditional Medicaid, Primary Care Network, Select Access, Baby Your Baby, etc.) to Medicaid Fee-For-Service (FFS), HT000004-001 or to HT000004-005 for crossovers. Atypical providers must use HT000004-801 for all transactions. Services not covered by Medicare should be billed to Medicaid FFS using the policy and procedures of Medicaid.

Page	Loop	Segment	Data Element	Values / Comments
59		BHT06	Claim or Encounter Identifier	“CH” – Used for claims with at least one chargeable item.
62	1000A	NM108	Electronic Transmitter Identification Number (ETIN)	“46” – ETIN
63	1000A	NM109	Submitter Identifier	Submitter’s TPN
68	1000B	NM103	Receiver Name	“Medicaid FFS” OR “Medicaid Crossover”
68	1000B	NM108	Information Receiver Identification Number	“46” – ETIN
68	1000B	NM109	Receiver Primary Identifier	“HT000004-001” – Medicaid FFS “HT000004-005” – Crossover “HT000004-801” – Medicaid atypical
72	2000A	PRV02	Reference Identification Qualifier	“ZZ” – Taxonomy Code*
72	2000A	PRV03	Reference Identification	Provider Taxonomy Code* Required if multiple provider types/services under same NPI.
77	2010AA	NM101	Entity Identifier Code	“85” – Billing Provider
77	2010AA	NM102	Entity Type Qualifier	“2” – Non-Person Entity
77	2010AA	NM108	Identification Code Qualifier	“XX” – NPI* “24” – Tax ID
78	2010AA	NM109	Identification Code	NPI* Tax ID (atypical only after NPI deadline) Must be in secondary field if billing with the NPI
79	2010AA	N301	Billing Provider Address Line	Address that coordinates with Medicaid Contract Service Location
80	2010AA	N401	Billing Provider City Name	City that coordinates with Medicaid Contract Service Location
81	2010AA	N402	Billing Provider State	State that coordinates with Medicaid Contract Service Location

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Page	Loop	Segment	Data Element	Values / Comments
81	2010AA	N403	Billing Provider's Zip Code	Zip Code+4 required if not using 12-digit Contract number in REF02 below. Do not submit hyphens or spaces.
83	2010AA	REF01	Reference Identification Qualifier	"1D" – Medicaid Contract Number (atypical only after NPI deadline). "EI" – Tax ID
84	2010AA	REF02	Billing Provider Additional Identifier	Use the 12-digit identifier assigned by Medicaid. (atypical only after NPI deadline) Tax ID in REF field when using NPI
100	2000B	HL04	Hierarchical Child Code	"0" – The subscriber is always the patient, there are no dependents in Medicaid.
104	2000B	SBR09	Claim Filing Indicator Code	"MC" – Medicaid
109	2010BA	NM102	Entity Type Qualifier	"1" – Person
109	2010BA	NM103	Subscriber Last Name	Patient's last name. Match the name on the Medicaid Card.
109	2010BA	NM104	Subscriber First Name	Patient's first name is required. Match the name on the Medicaid Card. See UHIN Standard #37 for additional guidance. http://standards.uhin.com/HIPAA%20Standards/37%20Individual%20Name%20v2.pdf
110	2010BA	NM108	Identification Code Qualifier	"MI" – Member Identification Number
110	2010BA	NM109	Subscriber Primary Identifier	Use the 10-digit identifier assigned by Medicaid. Do not submit hyphens or spaces.
127	2010BC	NM103	Payer Name	"Medicaid FFS" OR "Medicaid Crossover"
127	2010BC	NM108	Identification Code Qualifier	"PI" – Payer Identification
128	2010BC	NM109	Payer Identifier	"HT000004-001" – Medicaid FFS "HT000004-005" – Crossover "HT000004-801" – Medicaid atypical
139	2000C	HL	Patient Hierarchical Level	The subscriber is always the patient in Medicaid. Do NOT use this loop.
158	2300	CLM01	Patient Account Number	Provider assigned number unique to this particular claim.
159	2300	CLM02	Total Claim Charges	Total Claim Charges
159	2300	CLM05-1	Facility Type Code	Type of Bill

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159	2300	CLM05-3	Claim Frequency Code	For original submission (or re-submission of <u>denied</u> claims) use value: “1” – Original Medicaid will allow for submission of electronic corrections or voids to a previously <u>paid</u> claim. Acceptable Values: “7” – Replacement “8” – Void The Contract Number must match. To correct a Contract Number, void out the <u>paid</u> claim, then submit an original claim using the correct Contract Number.
165	2300	DTP01	Date/Time Qualifier	“096” – Discharge
165	2300	DTP02	Date Time Period Format Qualifier	“TM” – Hour
166	2300	DTP03	Discharge Hour	Use format HHMM
167	2300	DTP01	Date/Time Qualifier	“434” – Statement
167	2300	DTP02	Date Time Period Format Qualifier	“RD8” – Date Range
168	2300	DTP03	Statement From or To Date	Use format CCYYMMDD– CCYYMMDD
169	2300	DTP01	Date/Time Qualifier	“435” – Admission
169	2300	DTP02	Date Time Period Format Qualifier	“DT” – Date and time
170	2300	DPT03	Admission Date/Hour	Use format CCYYMMDDHHMM
171	2300	CL101	Admission Type Code	Type of admission
172	2300	CL102	Admission Source Code	Source of admission
172	2300	CL103	Patient Status Code	Discharge patient status
178	2300	AMT01	Amount Qualifier Code	“C5” – Claim Amount Due - Estimated
179	2300	AMT02	Estimated Claim Due Amount	Estimated Amount Due
180	2300	AMT01	Amount Qualifier Code	“F3” – Patient Responsibility - Estimated
181	2300	AMT02	Patient Responsibility Amount	Estimated Amount Due
191	2300	REF01	Reference Identification Qualifier	“F8” – Original Reference Number

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192	2300	REF02	Claim Original Reference Number	When codes "7" or "8" are submitted in Loop 2300 CLM05-3, the TCN assigned to the original claim must be reported. Do not submit hyphens or spaces. Do not submit replacement/void claims until the original TCN processes through a weekend cycle.
198	2300	REF01	Reference Identification Qualifier	"G1" – Prior Authorization. Medicaid does not use referral numbers.
199	2300	REF02	Prior Authorization	Use the 7-digit prior authorization assigned by Medicaid.
200	2300	REF01	Reference Identification Qualifier	"EA" – Medical Record Identification Number
201	2300	REF02	Medical Record Number	Provider assigned number.
208	2300	NTE01	Note Reference Code	"ADD" – Additional Information
209	2300	NTE02	Billing Note Text	Additional claim level notes
228	2300	HI01-2	Principal Diagnosis	Primary diagnosis
228	2300	HI02-2	Admitting Diagnosis	Admitting diagnosis
230	2300	HI01-2	Diagnosis Related Group (DRG) Information	Medicaid calculates the DRG and does not utilize this field.
233	2300	HI01-2	Other Diagnosis	Additional diagnosis
242	2300	HI01-1	Code List Qualifier Code	"BR" – Principal procedure
243	2300	HI01-2	Principal Procedure Code	Principal procedure code
244	2300	HI01-1	Code List Qualifier Code	"BQ" – Other procedure codes
245	2300	HI01-2	Procedure Code	The principal procedure code and 2 others (in order as listed), will be used for claims processing.
267	2300	HI	Occurrence Information	The first 5 occurrence codes will be used for claims processing.
280	2300	HI	Value Information	The first 3 value codes will be used for claims processing. When using value code "68", a revenue code and units must also be submitted (units should be rounded to full units).
306	2300	QTY	Claim Quantity	Used to report covered and non-covered days.
322	2310A	NM101	Entity Identifier Code	"71" –Attending Physician
322	2310A	NM102	Entity Type Qualifier	"1" – Person
323	2310A	NM108	Identification Code Qualifier	"XX" – NPI "24" – Tax ID (not used after NPI deadline)
323	2310A	NM109	Identification Code	NPI Tax ID (not used after the NPI deadline)
326	2310A	REF01	Reference Identification Qualifier	"1D" – Medicaid Contract Number. Not used after the NPI deadline.

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327	2310A	REF02	Attending Physical Secondary Identifier	Use the 12-digit identifier assigned by Medicaid. Not used after the NPI deadline.
329	2310B	NM101	Entity Identifier Code	“72” – Operating Physician
329	2310B	NM102	Entity Type Qualifier	“1” – Person
330	2310B	NM108	Identification Code Qualifier	“XX” – NPI “24” – Tax ID (not used after NPI deadline)
330	2310B	NM109	Identification Code	NPI Tax ID (not used after NPI deadline)
333	2310B	REF01	Reference Identification Qualifier	“1D” – Medicaid Contract Number. Not used after the NPI deadline.
327	2310B	REF02	Operating Physical Secondary Identifier	Use the 12-digit identifier assigned by Medicaid. Not used after the NPI deadline.
359	2320	SBR	Other Subscriber Information	If the patient has Medicare or other 3rd party coverage, repeat this loop for each payer. Do not put information about Utah Medicaid coverage/payment in this loop.
367	2320	CAS01	Claim Adjustment Group Code	As reported by other payer. Report Patient Responsibility in Loop 2320 AMT Segment.
367	2320	CAS02	Adjustment Reason Code	As reported by other payer.
367	2320	CAS03	Adjustment Amount – Claim Level	As reported by other payer.
371	2320	AMT01	Amount Qualifier Code	“C4” – Prior Payment - Actual
371	2320	AMT02	Payer Paid Amount	As reported by other payer.
372	2320	AMT01	Amount Qualifier Code	“B6” – Allowed - Actual
372	2320	AMT02	Allowed Amount	As reported by other payer
376	2320	AMT01	Amount Qualifier Code	“N1” – Net Worth
377	2320	AMT02	Medicare Paid Amount	As reported by Medicare.
392	2320	MIA	Medicare Inpatient Adjudication	Remark codes as reported by Medicare.
397	2320	MOA	Medicare Outpatient Adjudication	Remark codes as reported by Medicare.
415	2330B	DTP03	Adjudication or Payment Date	As reported by other payer.
444	2400	LX	Line Counter	Medicaid recommends submitting 59 or fewer service lines for each Institutional claim. Claims submitted with more than 59 service lines will be split and may encounter processing delays.
446	2400	SV201	Product/Service ID	Use appropriate 4-digit REV codes.

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448	2400	SV204	Unit or basis for measurement	“DA” – days “UN” – units
453	2400	PWK01	Attachment Report Type Code	Required if documentation is needed to support the claim. Claim may deny, however once documentation is received the claim is re-processed.
454	2400	PWK02	Report Transmission Code	“BM” – by mail “FX” – by fax “EM” – by e-mail
454	2400	PWK06	Identification Code	Provider assigned number unique to this attachment. Each attachment associated with the claim must display the same unique number and the Provider ID.
456	2400	DTP	Service Line Date	Report line level date of service as appropriate. Required for home health providers.
37A ^a	2410	LIN02	ID Qualifier	“N4” – NDC
37A ^a	2410	LIN03	NDC Number	NDC is required on all drugs containing a NDC in addition to the HCPCS/CPT code, effective January 1, 2007. Enter 11 digits. Do not submit hyphens or spaces.
39A ^a	2410	CPT03	Unit Price	Drug Unit Price
39A ^a	2410	CPT04	Quantity	National Drug Unit Count
39A ^a	2410	CPT05	Unit or Basis of Measurement	“GR” – Gram “ME” – Milligram “ML” – Milliliter “UN” – Unit
40A ^a	2410	REF01	Reference Identification Qualifier	“XZ” – Prescription Applicable if dispensing of the drug has been done with an assigned Rx number.
41A ^a	2410	REF02	Prescription Number	Pharmacy Prescription Number
490	2430	SVD	Line Adjudication Information	Use this loop if line level payment was received from another payer.
491	2430	SVD02	Service Line Paid Amount	As reported by other payer.
495	2430	CAS01	Adjustment Group Code	As reported by other payer. If other payer reported line level patient responsibility, but did not provide a group code, use “PR” to report patient responsibility.

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Page	Loop	Segment	Data Element	Values / Comments
496	2430	CAS02	Adjustment Reason Code	As reported by other payer. If other payer reported line level patient responsibility, but did not provide a reason code, use: "1" – deductible amount "2" – coinsurance amount
496	2430	CAS03	Adjustment Amount – Line Level	As reported by other payer.

* Does not apply to atypical providers.

^a Addenda page numbers