CORRECTIVE ACTION OVERVIEW

FFY 2009-2010
Corrective Action Overview Based on APR Compliance Indicators Data collected

Please refer to BWEIP policy: 1.A.4 General Supervision System for Monitoring Implementation of Part C

100% Compliance Indicators

**Indicator 1:** Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.
(20 U.S.C. 1416(a)(3)(A) and 1442)

**Indicator 7:** Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.
(20 U.S.C. 1416(a)(3)(B) and 1442)

**Indicator 8:** Percent of all children exiting Part C who received timely transition planning to support the child’s transition to preschool and other appropriate community services by their third birthday including:

A. IFSPs with transition steps and services
B. Notification to Local Education Agency (LEA)*, if child potentially eligible for Part B: *(compliance expected as of FFY 09 in accordance with OSEP acceptance of Utah’s Disclosure of Directory Information Policy) and:
   (8A and 8B based on FFY 09-10 onsite compliance monitoring at 5 programs)
C. Transition conference, if child potentially eligible for Part B.
(20 USC 1416(a)(3)(B) and 1442)

Performance on any compliance indicators of less than 100% is noncompliance and requires corrective action.
The following corrective action requirements and reporting apply to each indicator where noncompliance is identified.

To effectively monitor the implementation of Part C of the IDEA by EI programs/providers, as required by IDEA sections 616, 635(a)(10)(A), and 642,34 CFR §303.500 303.501, the State must have a general supervision system that corrects noncompliance in a timely manner. In addition, as noted in OSEP Memorandum 09-02, Reporting on Correction of Noncompliance in the Annual Performance Report Required under Sections 616 and 642 of the Individuals with Disabilities Education Act, dated October 17, 2008 (OSEP Memo 09-02), in order to verify that previously identified noncompliance has been corrected, the State must verify at the EIS program and/or provider: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected noncompliance for each child, unless the child is no longer within the jurisdiction of the EI program and/or provider.
<table>
<thead>
<tr>
<th>Compliance Level</th>
<th>Action Required?</th>
<th>Program Corrective Action Requirements</th>
<th>Corrective Action Reporting to BWEIP</th>
</tr>
</thead>
<tbody>
<tr>
<td>100% Compliance</td>
<td>No</td>
<td>None</td>
<td>N/A</td>
</tr>
<tr>
<td>95% to 99.9%</td>
<td>Yes</td>
<td>● Determine status and cause of each late event, unless the child is no longer in Part C. Periodic self-review of monitoring report(s) in the indicator(s) of concern.</td>
<td>● Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8c). Subsequent data report at 100% for each indicator of concern per BWEIP specification.</td>
</tr>
<tr>
<td>80% to 94.9%</td>
<td>Yes</td>
<td>● Analysis of cause(s) of noncompliance. Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. TA conference call(s) as needed. Onsite BWEIP TA as needed.</td>
<td>● Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8c). Subsequent data report at 100% for each indicator of concern per BWEIP specification. Quarterly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. Final written report to BWEIP detailing progress by 6/1/11.</td>
</tr>
<tr>
<td>70% to 79.9%</td>
<td>Yes</td>
<td>● Analysis of cause(s) of noncompliance. Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. Bi-monthly TA call with BWEIP. Onsite monitoring visit. Onsite BWEIP TA.</td>
<td>● Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8c). Subsequent data report at 100% for each indicator of concern per BWEIP specification. Bi-monthly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. Monthly written reporting and TA call with BWEIP. Final written report to BWEIP detailing progress by 6/1/11.</td>
</tr>
<tr>
<td>&lt; 70% Compliance</td>
<td>Yes</td>
<td>● Analysis of cause(s) of noncompliance. Written implementation plan to address cause(s) with specified timeline, submitted to and approved by BWEIP. Monthly TA call with BWEIP. Onsite monitoring visit(s). Onsite BWEIP TA.</td>
<td>● Data report to BWEIP showing correction for all individual instances (or completion, although late) for timeline indicators (1, 7, 8c). Subsequent data report at 100% for each indicator of concern per BWEIP specification. Monthly review and reporting to BWEIP of monitoring report(s) for the indicator(s) of concern. Monthly written reporting and TA call with BWEIP. Final written report to BWEIP detailing progress by 6/1/11.</td>
</tr>
</tbody>
</table>