

## APPENDIX A (Centers)

### RECORDS, REPORTS, NOTIFICATIONS, & POSTED ITEMS

This document is not rule. Instead, it is a tool to help as a quick reference to some of the Child Care Licensing (CCL) rules. This document will be updated as needed, but at least once a year. For complete access to the rules and their interpretation, please go to <https://childcarelicensing.utah.gov/Rules.html>.

For CCL, all records must be kept on-site for at least six weeks or longer depending upon the action or event that is documented. Children's and personnel records must be current and kept on-site while the individual is involved with the program, and for six weeks after the individual leaves the program. The business license and other facility records, such as fire inspection reports, must be current and kept on-site for at least 6 weeks after their expiration dates. At least 12 months of fire and disaster drills must be kept on-site for review by CCL. Other agencies, such as the local health department, the food program, or the IRS, may require that records be kept for a longer period of time.

Records		
Rule	Record	Requirement
100-6(11)-(13)(a)-(b)	Admission & Health Assessment for each child including emergency medical treatment & emergency transportation releases	<ul style="list-style-type: none"> <li>• Obtain from parent before admission into program</li> <li>• Update annually</li> <li>• Keep on-site for CCL review</li> </ul>
100-6(14)(a)-(d)-(15)	Current immunization records for each infant, toddler & preschooler	<ul style="list-style-type: none"> <li>• Obtain before child's admission into program</li> <li>• Must be current</li> <li>• Keep on-site for CCL review</li> </ul>
100-7(19)(a)-(i)-(20)(a)-(c)	Preservice training documentation	Keep on-site for CCL review
100-7(21)(a)-(h)-(24)(a)-(e)	Annual training documentation	Keep on-site for CCL review
100-7(28)(a)-(c)	Personnel Records <ul style="list-style-type: none"> <li>• Date of initial employment or association</li> <li>• First aid and CPR certification</li> <li>• Days and hours worked</li> </ul>	<ul style="list-style-type: none"> <li>• Keep on-site for CCL review</li> <li>• Days and hours worked kept for 6 weeks</li> </ul>
100-8(1)(a)-(d)-(6)(a)-(c)	Background check form & fees for new covered individuals Fingerprints & fees as required per rule	<ul style="list-style-type: none"> <li>• Submit to CCL</li> <li>• Individual must pass CCL background check before involvement with child care</li> </ul>
100-8(7)-(8)(a)-(c)	Background check form & fees for renewal	Submit to CCL at least 2 weeks before end of renewal month on background check card
100-11(6)(a)-(f), (8)	Children's daily attendance including sign-in and sign-out records	<ul style="list-style-type: none"> <li>• Document daily</li> <li>• Keep 6-week record on-site for CCL review</li> </ul>
100-14(3)-(8)	Fire & disaster drills	<ul style="list-style-type: none"> <li>• Documentation contains all required information</li> <li>• 12-month record kept on-site for CCL review</li> </ul>
100-16(2)(a)-(e)	Meal & snack menus if not on CACFP	<ul style="list-style-type: none"> <li>• Current approval</li> <li>• Keep 6-week record on-site for CCL review</li> </ul>
100-17(4)-(7)(a)-(b)	Medication permission & instructions	Must be filled out and signed by child's parent before administering medication

Records Continued		
Rule	Record	Requirement
100-17(9)(a)-(c), (12)	Medication administration record	<ul style="list-style-type: none"> <li>Complete immediately after administering medication</li> <li>Keep 6-week record on-site for CCL review</li> </ul>
100-18(7)(a)-(f)-(8)(a)-(f)	Parental permission for swimming & offsite activities	Obtain before each activity
100-18(9)(a)-(e)	Written emergency information and releases	Must be with caregiver for each child on offsite activity
100-19(15)(a)-(c)-(16)	ASTM documentation for cushioning	Keep on-site for CCL review
100-20(1)(a)-(b)	Transportation permission form	<ul style="list-style-type: none"> <li>Signed by parent</li> <li>Keep on-site for CCL review</li> </ul>
100-20(4)(a)-(i)	Current driver's license for each driver	<ul style="list-style-type: none"> <li>Valid for the type of vehicle being driven</li> <li>Carried with the driver</li> </ul>
100-20(4)(a)-(i)-(5)(a)-(d)	Children's emergency contact information	Driver/caregiver must have for each child being transported
100-21(8)-(9)	Animal vaccination records	<ul style="list-style-type: none"> <li>Must be current</li> <li>Keep onsite for CCL review</li> </ul>
100-24(17)	Sleep equipment permission	<ul style="list-style-type: none"> <li>Obtain written permission from parent before child sleeps in unsafe sleep equipment</li> <li>Available for CCL review</li> </ul>
100-24(18)	Alternate sleep position documentation	From health care provider
100-24(20)(a)-(b), (22)(a)-(b)	Infants' eating & sleeping patterns	<ul style="list-style-type: none"> <li>Document within 1 hour of feeding or nap</li> <li>Include name, food/beverages eaten, &amp; time child slept</li> <li>Keep 6-week record on-site for CCL review</li> </ul>
100-24(21)(a)-(c), (22)(a)-(b)	Infants' & toddlers' diaper changes	<ul style="list-style-type: none"> <li>Document within 1 hour of diaper change</li> <li>Include time and diaper status</li> <li>Keep 6-week record on-site for CCL review</li> </ul>

Reports		
Rule	Report	Requirement
100-6(9)(a)-(e)	Health & Safety Plan	<ul style="list-style-type: none"> <li>Complete on CCL's form</li> <li>Submit to CCL in license application period &amp; after any change</li> <li>Reviewed and updated as needed</li> <li>Signed and dated annually</li> <li>Available during business hours to parents, staff, and CCL</li> </ul>
100-6(16)	Annual immunization report	<ul style="list-style-type: none"> <li>Submit report to Immunization Program annually</li> <li>Usually Oct 1-Nov 30</li> </ul>
100-14(10)(a)-(b), (14)	Incident, accident or injury involving a child	<ul style="list-style-type: none"> <li>Give written report to parent on day of occurrence</li> <li>Keep 6-week record on-site for CCL review</li> </ul>

<b>Notifications</b>		
<b>Rule</b>	<b>Notification</b>	<b>Requirement</b>
100-6(8)	Telephone number & contact information change	Notify CCL & parents within 48 hours of change
100-6(10)(a)-(b)	Liability Insurance	Inform parents in writing if no liability insurance
100-8(20)	Arrest warrant, felony or misdemeanor arrest, charge, conviction, or supported LIS finding	Notify CCL within 48 hours of becoming aware of occurrence
100-9(6)	Lead-based paint testing	<ul style="list-style-type: none"> <li>• Contact local health department within 5 working days of discovery</li> <li>• Follow instructions for remediation</li> </ul>
100-12(2)	Behavioral expectations for children & how misbehavior will be handled	Inform children, parents & those who interact with children
100-12(6)	Child abuse, neglect, or exploitation	Notify CPS or law enforcement immediately upon witnessing or suspicion
100-14(11)	Serious, but not life-threatening injury involving a child	Contact parent of child immediately
100-14(12)(a)-(c)	Life-threatening injury or injury that poses threat of loss of vision, hearing, or limb involving a child	<ul style="list-style-type: none"> <li>• Contact emergency personnel immediately</li> <li>• Contact parent after emergency personnel</li> <li>• Contact emergency contacts if parents cannot be reached</li> </ul>
100-14(13)(a)-(b)	Child received medical attention for injury while in care or for fatality	<ul style="list-style-type: none"> <li>• Notify CCL within next business day</li> <li>• Submit written report within 5 business days</li> </ul>
100-15(21)(a)-(b)	Child becomes ill while in care	<ul style="list-style-type: none"> <li>• Contact parent immediately</li> <li>• Contact emergency contacts if parents cannot be reached</li> </ul>
100-15(22)	Child or employee with infectious or unusual disease or serious illness	Notify local health department on day of discovery
100-17(10)	Child's adverse reaction to medication or error in administration	<ul style="list-style-type: none"> <li>• Notify emergency personnel immediately if reaction is life threatening</li> <li>• Report to parent immediately upon recognizing reaction or error or after notifying emergency personnel</li> </ul>
100-17(11)	Provider's refusal to administer medication	Notify parent before medication needs to be given to child
100-21(1)	Animals permitted at facility	Inform parents of the kinds of animals allowed

<b>Posted Items</b>		
<b>Rule</b>	<b>Posted Item</b>	<b>Requirement</b>
100-6(6)	Child Care License	Post original in visible location
100-6(7)	Parent Guide	Post during business hours for parents' review
100-14(1)	Emergency numbers with facility address	Post near each telephone or in clearly visible area
100-15(10)	Handwashing procedures	Post where readily visible from each handwashing sink
100-15(23)(a)-(d)	Staff member or child has infectious disease or parasite	<ul style="list-style-type: none"> <li>• Post notice with date on day of discovery</li> <li>• Post in conspicuous place</li> <li>• Remain posted for at least 5 days</li> </ul>
100-16(2)(a)-(e)	Meal & snack menus	Post current week's menu for review by parents and CCL
100-18(4)(a)-(b)	Daily schedule of activities	Post for preschool and school-age children
100-23(1)	Diapering procedures	Posted at each diapering station