

**Federal Funding Accountability & Transparency Act (FFATA)
Reporting Requirements for Sub-awards \$25,000 or more**

Department Log Number: _____

Federal Funding Accountability and Transparency Act (FFATA) of 2006 requires each sub-recipient to report the names and total compensation of its five most highly compensated executives if other requirements, as specified in this worksheet, are not met.

Please complete the following form. Knowingly providing false or misleading information may result in criminal or civil penalties as pursuant to 18 U.S.C. 1001.

A. Entity Name, being the legal entity to which this specific Central Contractor Registration (CCR) record, represented by a DUNS number, belongs:

DUNS Number: _____

B. In your business or organization's immediate past fiscal year, did the entity receive:

- (1) 80 percent or more of its annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, sub-grants, and/or cooperative agreements; **and**
- (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, sub-grants, or cooperative agreements?

YES: Continue to C NO: Signature: _____ Date: _____

Print Name _____

Title: _____

C. Does the public have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 1604 of the Internal Revenue Code of 1986?

NO: Continue to D YES: Signature: _____ Date: _____

on the next page

Print Name: _____

Title: _____

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D. Provide the names and total compensation of the entity's five most highly compensated executives for the entity's preceding fiscal year:

Name	Position Title	Total Compensation*

Signature: _____

Date: _____

Print Name: _____

Title: _____

*Total compensation means the cash and noncash dollar value earned by the executive during the recipient's or sub-recipient's preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):

- 1) Salary and bonus
- 2) Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS123R), Shared Based Payments.
- 3) Earnings for services under non-equity incentive plans. This does not include group life, health hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
- 4) Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
- 5) Above-market earnings on deferred compensation which is not tax-qualified.
- 6) Other compensation, if the aggregate value of the all such other compensation (e.g. severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds \$10,000.